



10-09-01

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Benne VELSHER; John F. BOEHM

Serial No: Not Assigned

Filed: October 5, 2001

For: OPTO-ELECTRONIC PACKAGE FOR INTEGRATED SEALING OF  
OPTICAL FIBERS

## Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Transmitted herewith for filing is the patent application identified above.

- ☒ 2 sheet(s) of drawings (☐ formal ☒ informal) is(are) enclosed.  
☒ 13 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.  
☒ An assignment of the invention to Kyocera America Inc. ☐ is enclosed ☒ will follow.  
☒ An associate power of attorney ☒ is enclosed ☐ will follow.  
☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.  
☒ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.  
☐ A certified copy of      Patent Application No.      filed      from which priority is claimed under 35 U.S.C. § 119 is enclosed.  
☐ IDS enclosed (☐ with      reference(s)).  
☐ Preliminary Amendment is enclosed.  
☒ Return postcard is enclosed.

## CALCULATION OF FEES

CALCULATION OF FEES

| ITEM |  | TOTAL NO. OF CLAIMS |     | NO. OF CLAIMS OVER BASE | LG/SM \$ ENTITY FEE |  | \$ AMOUNT | \$ FEE |
|------|--|---------------------|-----|-------------------------|---------------------|--|-----------|--------|
| A    | TOTAL CLAIMS FE E  | 17                  | -20 | 0                       | LG=\$18<br>SM=\$9   | \$18   | \$ 0      |        |
| B    | INDEPENDENT CLAIMS FEE*  | 3                   | -3  | 0                       | LG=\$84<br>SM=\$42  | \$84   | \$ 0      |        |
| C    | SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B) |                     |     |                         |                     |  |           | 0      |
| D    | MULTIPLE-DEPENDENT CLAIMS FEE                                      |                     |     |                         |                     | LARGE ENTITY FEE = \$270<br>SMALL ENTITY FEE = \$140 |           | \$ 0   |
| E    | BASIC FEE  |                     |     |                         |                     | LARGE ENTITY FEE = \$740<br>SMALL ENTITY FEE = \$370 |           | \$ 740 |
| F    | TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)                |                     |     |                         |                     |  |           | \$ 0   |
| G    | ASSIGNMENT RECORDING FEE   |                     |     |                         |                     |  | \$ 40     | \$ 40  |
|      | *LIST INDEPENDENT CLAIMS 1, 6, 12                                  |                     |     |                         |                     |  |           |        |

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.  
☒ A check in the amount of \$ 740 to cover the filing fee is enclosed.  
☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.  
☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**  
☒ Any additional filing fees required under 37 C.F.R. 1.16  
☒ Any patent application processing fees under 37 C.F.R. 1.17  
☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-1314. **A copy of this letter is enclosed.**  
☐ Any patent application processing fees under 37 C.F.R. 1.17  
☐ The issue fee set in 37 C.F.R. 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b)  
☐ Any filing fees under 37 C.F.R. 1.16 for presentation of extra claims  
 Please associate this case with the attorneys of record.

 Respectfully submitted,  
 HOGAN & HARTSON L.L.P.

By:

 John P. Scherlach  
 Registration No. 23,009  
 Attorney for Applicant(s)

Date: October 5, 2001

 500 South Grand Avenue, Suite 1900  
 Los Angeles, CA 90071  
 Telephone: (213) 337-6700  
 Facsimile: (213) 337-6701

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Benne VELSHER; John F. BOEHM

Serial No: Not Assigned

Filed: Not Assigned

For: OPTO-ELECTRONIC PACKAGE FOR  
INTEGRATED SEALING OF OPTICAL FIBERS

Art Unit: Not Assigned

Examiner: Not Assigned

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL

"Express Mail" Mailing Label No. EL 713 625 826 US

Date of Deposit: October 5, 2001

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of the Application for Patent
- ☒ check in amount of \$ 740 for the filing fee
- ☒ Associate Power of Attorney (37 C.F.R. § 1.34)
- ☐ Information Disclosure Statement with \_\_\_\_ references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231.

Date: October 5, 2001

Hogan & Hartson, LLP  
500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Telephone: 213-337-6700  
Facsimile: 213-337-6701

Rebecca Golden

Name of person mailing papers



Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Benne VELSHER; John F. BOEHM

Serial No: Not Assigned

Filed: October 5, 2001

For: OPTO-ELECTRONIC PACKAGE FOR  
INTEGRATED SEALING OF OPTICAL  
FIBERS

Art Unit: Not Assigned

Examiner: Not Assigned

ASSOCIATE POWER OF ATTORNEY (37 C.F.R. § 1.34)

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

Please recognize the following as my associate attorneys in the above-entitled application:

STUART LUBITZ, Reg. No. 20,680; JOHN P. SCHERLACHER, Reg. No. 23,009; ALFRED A. D'ANDREA, JR., Reg. No. 27,752; WILLIAM J. KUBIDA, Reg. No. 29,664; MATTHEW BAILEY, Reg. No. 33,829; STUART T. LANGLEY, Reg. No. 33,940; E. MICHAEL BYORICK, Reg. No. 34,131; CAROL W. BURTON, Reg. No. 35,465; STEVEN C. PETERSON, Reg. No. 36,238; WILLIAM H. WRIGHT, Reg. No. 36,312; STEVEN K. BARTON, Reg. No. 36,445; MICHAEL L. CRAPENHOFT, Reg. No. 37,115; DAVID LUBITZ, Reg. No. 38,229; WEI-NING YANG, Reg. No. 38,690; CELINE CROWSON, Reg. No. 40,357; STERLON R. MASON, Reg. No. 41,179; SARAH S. O'ROURKE, Reg. No. 41,226; LAWRENCE J. McCLURE, Reg. No. 44,228; MATTHEW G. DYOR, Reg. No. 45,278; WEI-FU HSU, Reg. No. 45,723; ERIN P. MADILL, Reg. No. 46,893; BRIAN D. MARTIN, Reg. No. 47,771; KENTON B. ABEL, Reg. No. P49,05.

Please associate this case with this firm's customer number:

☒ Customer Number

000026021

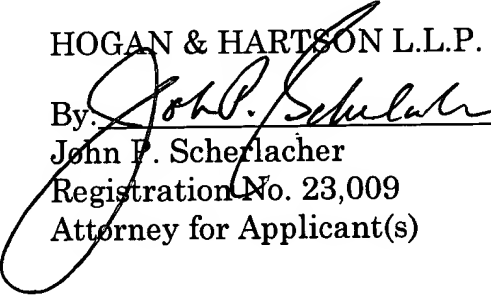


26021

PATENT TRADEMARK OFFICE

Respectfully submitted,

HOGAN & HARTSON L.L.P.

By:   
John P. Scherlach  
Registration No. 23,009  
Attorney for Applicant(s)

Date: October 5, 2001

500 South Grand Avenue, Suite 1900  
Los Angeles, California 90071  
Phone: 213-337-6700  
Fax: 213-337-6701